

## RECEIVER MONITORING AND OPTIMIZATION USING FORWARD ERROR CORRECTION INFORMATION

### FIELD OF THE INVENTION

**[0001]** This invention relates to receivers for regenerating binary data signals, and more particularly to receivers that use forward error correction information to monitor and adjust regeneration of binary data signals.

### BACKGROUND OF THE INVENTION

**[0002]** It is well known that transmitted signals suffer degradation from such factors as noise, inter-symbol interference, and distortion during transmission, and that the extent of such degradation can be represented in an eye closure diagram, which is essentially a plot of signal amplitude against time. For a binary data signal, such a diagram has a single eye which is open or closed to an extent determined by the signal degradation. For optimum regeneration of the signal, it is desired to sample the signal with respect to an amplitude decision or threshold level and at a time positioned optimally within the open part of the eye closure diagram.

**[0003]** Transmission rates of data in communications systems have increased dramatically in recent years, and continue to increase. Additionally, the length of fiber links in networks also continues to increase. Both of these factors can contribute to increased signal degradation that must be compensated for when the transmitted signal is regenerated.

**[0004]** In order to assist in error detection, some standards, for example, those that pertain to Synchronous Data Hierarchy (SDH), have allowed for basic performance monitoring through error detection methods such as Bit-Interleaved Parity-8 (BIP-8), in which parity checks are performed on one-byte groups. More recent standards that have or are being devised for optical networks (for example, ITU-T G.709) provide for overhead that includes extensive forward error correction (FEC) data for use in regeneration of degraded signals.

**[0005]** Various systems have been proposed in which receiver regeneration performance is monitored and the results used in optimization routines in an attempt to lower the Bit Error Rate (BER) in such systems. For example, in U.S. Patent No. 5,896,391 issued April 20, 1999, to Solheim et al. and assigned to Northern Telecom, forward error correction assisted receiver optimization is disclosed. However, such proposals have focussed on monitoring performance based on the magnitude of the BER, rather than distinguishing between the types of errors that are occurring.

**[0006]** It is therefore desirable to provide a receiver having performance monitoring and correction capabilities in which the types of errors that occur are considered.

#### SUMMARY OF THE INVENTION

**[0007]** According to embodiments of the present invention, there is provided a data regenerator that compares the number of corrected logic "1"s and "0"s in an incoming data signal, and adjusts the slicing level performed in respect of the incoming signals so that the ratio of corrected logic "1"s and "0"s tends towards an optimal balance. By considering the type of errors being corrected, rather than just the number of errors, the present invention provides for improved optimization of the "eye" parameters of the data regenerator.

**[0008]** According to one aspect of the invention, there is provided a data regenerator for regenerating a data signal, including a convertor for converting a received data signal into a binary data signal in dependence on conversion parameters, an error corrector for correcting errors in the binary data signal based on error correction code contained in the binary data signal to produce a corrected binary data signal, and a performance monitor for comparing the corrected binary data signal with an uncorrected representation of the binary data signal to determine information about the relative number of logic "1"s and logic "0"s that have been corrected by the error corrector and output a feedback signal representative of said information. The convertor adjusts at least some of the conversion parameters in

dependence on the feedback signal.

**[0009]** According to another aspect of the invention, there is provided a method for regenerating a binary data signal that includes steps of converting a received data signal into a binary data signal according to conversion parameters, detecting and correcting errors in the binary data signal based on detection and correction code included in the binary data signal to produce a corrected binary data signal, comparing the corrected binary data signal with an uncorrected representation of the binary data signal to determine information about the relative number of logic "1"s and "0"s that have been corrected, and adjusting at least one of the conversion parameters in dependence on the determined information.

**[0010]** According to still a further aspect of the present invention, there is provided a performance monitoring device for monitoring the performance of a data regenerator that corrects a received data signal based on forward error correction information contained in the received data signal. The performance monitoring device includes comparison means for receiving a corrected binary data signal and an uncorrected binary data signal from the data regenerator and performing a bit-by-bit comparison of the corrected and uncorrected binary data signals to determine when a logic "1" has been corrected to a logic "0" and when a logic "0" has been corrected to a logic "1" by the data regenerator, and signal generating means responsive to the comparison means for generating a signal representative of the ratio of corrected logic "1"s and logic "0"s.

**[0011]** Other aspects and features of the present invention will become apparent to those ordinarily skilled in the art upon review of the following description of specific embodiments of the invention in conjunction with the accompanying figures.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

**[0012]** Figure 1 is a block diagram of a data regenerator in accordance with embodiments of the present invention.

**[0013]** Figure 2 is a representative eye-closure diagram for a digital signal.

**[0014]** Figures 3A-3E show sample feedback signals generated by a performance monitor of the data regenerator.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

**[0015]** Figure 1 shows a block diagram of a data regenerator 100, in accordance with one preferred embodiment of the invention, that includes a opto/electrical converter 102, a descrambler 104, a decoder 106, and a performance monitor 108. The opto/electrical converter 102 is connected to receive an optical signal from a transmitter over an optical fiber path 110, which may include optical amplifiers, optical filters, dispersion compensating modules, and other active and passive optical connectors as may be deployed between a transmitter and receiver. The opto/electrical converter 102 is configured to convert an incoming optical signal into a discrete binary electrical data signal, and in this regard includes an optical/electrical transducer 103 that performs conventional amplification and conversion of the optical incoming signal to an analog electrical signal, using conventional techniques. The opto/electrical converter 102 further includes an analog-to-digital converter 105 for sampling and converting the analog electrical signal into a digital data signal.

**[0016]** An exemplary eye closure diagram illustrating parameters used by the opto/electrical converter 102 for regeneration of data received over the optical path 110 is shown in Figure 2. The vertical coordinate of the eye closure diagram represents the amplitude of the data-in-signal, and the horizontal coordinate represents time.  $V_+$  and  $V_-$  are voltage levels associated with the lowest inner upper level and the highest inner lower level of the data-in-signal. The desired operation point for the analog-to-digital converter of the opto/electrical converter has the coordinates  $\Phi_{opt}$  and  $V_{opt}$ , where  $\Phi_{opt}$  represents an optimum sampling phase providing an acceptable phase margin to accommodate phase jitter, and  $V_{opt}$  represents an optimum slicing level for deciding if the level of the incoming signal represents a logic "1" or "0". Both  $\Phi_{opt}$  and  $V_{opt}$  depend on the transmission

equipment specification. As will be explained in greater detail below, according to the present invention, optimization of the selection of the slicing level  $V$  and sampling phase  $\Phi$  and of the analog to digital converter 105 of the opto/electrical converter 102 is effected based on feedback from the performance monitor 108.

**[0017]** In one embodiment of the invention, The raw binary data signal output from the opto/electrical converter 102 is provided to the descrambler 104 which is configured to reverse the effect of any scrambling that may have been done on the data signal prior to its transmission over the fiber path 110. As known in the art, scrambling of outgoing data frames is often performed immediately prior to transmission to ensure adequate clock timing content for downstream regenerators. In one possible embodiment of the invention, the data signals that are received from the fiber path 110 have a format that conforms to the ITU-T G.709 standard, and the descrambler is configured to operate on data signals having such a format. However, the particular data signal format is not relevant to this invention.

**[0018]** The descrambled digital data signal is passed to a decoder 106 where forward error correction code embedded in the data by an encoder at the transmitter is used to detect errors in the digital data signal and correct such errors. In some embodiments, the regenerator 100 may include a deinterleaver after the descrambler 104, and may include a plurality of decoders 106, each receiving a unique deinterleaved data signal from the deinterleaver to produce a corrected data signal therefrom. The decoder 106, in one possible embodiment, may be configured to perform forward error correction in conformance with the ITU-T G.709 standard, however the particular embodiment of the decoder 106 is not relevant to this invention.

**[0019]** Corrected data from the decoder 106 is output on a line 112 as regenerated data that ideally conforms to the data that was originally encoded and transmitted from the transmitter located at the opposite end of the fiber path 110.

**[0020]** The corrected data signal from decoder 106 is also provided to the performance monitor 108, along with an uncorrected data signal (on line 114) which has not been subjected to any error correction algorithms. The performance monitor is configured to compare the corrected and uncorrected data signals, and based on differences between the two provide on an output line 116 a signal that is indicative of the number of logic “zeros” that have been corrected relative to the number of logic “ones” that have been corrected.

**[0021]** In this regard, the performance monitor 108 includes a first scrambler 118 that receives the corrected data signal from the decoder 106 and performs the reverse of the operation performed by the descrambler 104 to rescramble the corrected data signal. In embodiments where the regenerator 100 includes a deinterleaver and a plurality of decoders each associated with a deinterleaved data signal the corrected data signals from each of the decoders are passed through a reinterleaver to build an interleaved corrected signal that is then provided to the first scrambler 118. A second scrambler 120 receives the uncorrected data signal and also performs the reverse of the operation performed by the descrambler 104 to rescramble the uncorrected data signal. Again, in embodiments where the regenerator 100 includes a deinterleaver and a plurality of decoders, a reinterleaver is used to rebuild the deinterleaved uncorrected data signals and an interleaved uncorrected data signal is provided as input to the second scrambler 120.

**[0022]** Thus, the uncorrected scrambled signal output from the second scrambler 120 is representative of the raw digital data signal output from the opto/electrical converter 102. In some embodiments, the output of the opto/electrical converter 102 could be used directly as the uncorrected comparison signal by the performance monitor, rather than descrambling and then rescrumbling (and in some cases deinterleaving and reinterleaving) the signal, however processing the uncorrected signal as closely as possible in parallel with the corrected signal as illustrated in Figure 1 simplifies synchronization requirements between the corrected and uncorrected signals.

**[0023]** The scrambled uncorrected digital data signal and the scrambled corrected digital data signal from the second and first scramblers 120, 118, respectively, are both provided to a comparator 122, where a bit-by-bit comparison between the two signals is performed for a predetermined frame length. Whenever a difference between the uncorrected and corrected signals is detected, the comparator 122 outputs a signal on a first line 124 to a duty cycle generator 128 if the subject bit has been corrected from an incorrect logic "one" to a logic "zero", or outputs a signal on a second line 126 to the duty cycle generator 128 if the subject bit has been corrected from an incorrect logic "zero" to a logic "one". The duty cycle generator 128 is configured to count the number of incorrect "ones" and "zeros", and output on feedback line 116 a signal representative of the ratio of "ones" and "zeros" that have been corrected by the decoder 106.

**[0024]** In one exemplary embodiment, the data regenerator 100 is configured for use in an Optical Transport Network in which the information structure used to transport data units is an Optical Channel Transport Unit (OTU). Each OTU includes a block of FEC code for correcting up to 160 errors in its associated data unit, and the data regenerator clock speed is such that each block of FEC code has a length of 255 clock cycles. The feedback signal output by the performance monitor 108 is configured to have a period of 510 clock cycles, and thus corresponds to two FEC blocks.

**[0025]** Figures 3A to 3E show sample feedback signal waveforms generated by the duty cycle generator 128. Figure 3A shows a waveform 200 having a 50% duty cycle in which the signal waveform 200 is high for half (255 cycles) of the clock cycles and low for the other half. In the illustrated embodiment, such a signal indicates that either no errors have been detected or else that the number of erroneous logic "ones" and "zeros" was equal. The duty cycle generator is configured to increase the number of "high" clock cycles during the output waveform a proportionate amount beyond 255 cycles when the incorrect number of logic "ones" exceeds the incorrect number of logic "zeros" and to decrease the number "high" clock cycles during the output waveform a proportionate amount below 255

cycles when the incorrect number of logic “ones” is less than the incorrect number of logic “zeros”. In the illustrated embodiment, the maximum offset from the 255 clock cycle center-point of the feedback wave form is plus or minus 160 cycles.

**[0026]** Figure 3B shows a sample feedback signal waveform 202 output on line 116 in a situation where 320 erroneous logic “ones” have been corrected and no logic “zeros” have been corrected. The “high” portion of the waveform 202 has been extended the maximum 160 clock cycles beyond the 255 clock cycle mid point for a total high duration of 415 clock cycles, as indicated by line 203. Figure 3C shows a sample feedback signal waveform 204 output on line 116 in a situation where 320 erroneous logic “zero” have been corrected and no logic “ones” have been corrected. The “high” portion of the waveform 204 has been reduced the maximum 160 clock cycles from the 255 clock cycle mid-point for a total high duration of 95 clock cycles, as indicated by line 205. Figure 3D shows a sample feedback signal waveform 206 for the situation where the number of erroneous logic “ones” that have been corrected exceeds the number of erroneous logic “zeros” that have been corrected by 65 (For example, if the number of incorrect “ones” is 85, and the number of incorrect “zeros” is 20). The ratio of this unbalance is represented by extending the “high” portion of the waveform 65 clock cycles beyond the 255 clock cycle mid-point so that it lasts a total of 320 clock cycles, as indicated by line 207. Figure 3E shows a sample feedback signal waveform 208 for the situation where the number of erroneous logic “zeros” that have been corrected exceeds the number of erroneous logic “ones” that have been corrected by 128 (For example, if the number of incorrect “ones” is 15, and the number of incorrect “zeros” is 143). The ratio of this unbalance is represented by shortening the “high” portion of the waveform 128 clock cycles from the 255 clock cycle mid-point so that it lasts a total of 127 clock cycles, as indicated by line 209. It will of course be appreciated that the feedback waveform could use a number of different formats other than as stated above to convey information about the ratio of incorrect ones and zeros. Among other things, the waveform could correspond to only one, or more than two, blocks of FEC code and different offset limits and cycle lengths could be used dependent on the error correcting capability of the correction code used in any particular implementation.



**[0027]** The duty cycle generator 128 outputs a signal waveform on feedback line 116 that is representative of the ratio of incorrect logic “ones” and incorrect logic “zeros” in blocks of data output from the opto/electrical converter 102. Thus, the opto/electrical converter is provided with an indication of the type of errors that are being made (“zeros” instead of “ones” and vice versa). The opto/electrical converter 102 is configured to adjust its “eye” parameters, namely the slicing level  $V$  used by the A/D converter 105, to balance the number of incorrect “zeros” and “ones” to an optimal level. For example, in one preferred embodiment of the invention, the slicing voltage  $V$  is varied so that the output of the duty cycle generator 128 is shifted towards an 50% duty cycle such as that shown in Figure 3A. In some embodiments, the optimal balance between corrected “ones” and “zeros” may be something other than an even 1:1 ratio, in which case the eye parameters can be adjusted based on the feedback signal to achieve and maintain the optimal balance. In some embodiments, the feedback signal on line 116 may also be used to also adjust the sampling phase  $\Phi$  as well as the slicing voltage  $V$  of the A/D converter 105 to balance the number of incorrect “zeros” and “ones” to an optimal level.

**[0028]** In a preferred embodiment, the A/D converter 105 includes the appropriate control logic 107 for processing the feedback signal from the performance monitor and controlling the convertor parameters accordingly. However, in some embodiments the control logic for processing the feedback signal could be partially or completely located outside of the opto/electrical convertor. In one embodiment, the control logic 107 includes a sampler to sample the feedback signal on line 116 with a higher clock speed than used to produce the feedback signal in order to determine its duty cycle, and the sampler output used to adjust the slicing level  $V$  of the A/D converter 105 to achieve an optimal balance between incorrect “ones” and “zeros”. In an alternative embodiment, the control logic 107 includes an integrator circuit to which the feedback signal on line 116 is fed into and the output of the integrator circuit is used to control the slicing level  $V$  of the A/D converter 105.

**[0029]** In addition to or in place of a duty-cycle waveform, the performance monitor could also be configured to output additional performance information based on the results output from comparator 122. For example, the duty cycle generator 128 may include counting circuitry having registers for counting the total number of corrected errors and also, the exact count of corrected "zeros" and "ones" occurring in received blocks and outputting such information on one or more count data lines 130 for performance monitoring purposes and/or for use by the opto/electrical converter to optimize its slicing voltage  $V$  and/or sampling phase  $\Phi$ . Actual count data can, among other things, be used to distinguish between equal numbers of corrected "ones" and "zeros", and no corrections (the situation shown in Fig. 34).

**[0030]** The generation of information concerning the ratio of corrected "ones" and "zeros" in accordance with the present invention provides a easily implemented method through which the regeneration performance can be monitored and optimized.

**[0031]** The optimization techniques of the present invention could be used with other optimization techniques to control the "eye" parameters fo data recognition. For example, information about the relative number of corrected "ones" and "zeros" output by the performance monitor 108 of the present invention could be used to optimize the bit slicing level  $V$ , and information about the total BER used to optimize the sampling phase  $\Phi$  using techniques such as that shown in U.S. Patent No. 5,896,391 issued April 20, 1999 to Solheim et al.

**[0032]** Although the invention has been described in connection with certain preferred embodiments, it is not intended to be limited thereto. Rather, the invention includes all embodiments which may fall within the scope of the following claims.